|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Operational Unit | | | | |
| Company Name |  | | | |
| Street |  | | | |
| Street Number |  | | | |
| Postal Code |  | | | |
| Place |  | | | |
| Country |  | | | |
| Geo Coordinates: Latitude in decimal degrees |  | | | |
| Geo Coordinates: Longitude in decimal degrees |  | | | |
| ISCC System | **☐** ISCC EU  **☐** ISCC PLUS | | | |
| ISCC Contact Person: Salutation |  | | | |
| ISCC Contact Person: Last Name |  | | | |
| ISCC Contact Person: First Name |  | | | |
| ISCC Contact Person: Phone |  | | | |
| ISCC Contact Person: E-Mail[[1]](#footnote-1) |  | | | |
| Contact details (e.g. email, phone) of relevant department within the company |  | | | |
| Type of Operation/ Scope to be audited | **☐** First Gathering Point **☐** Logistic Centre **☐** Trader  **☐** Collecting Point **☐** Warehouse  **☐**MTBE Plant  **☐**Farm | **☐** ETBE Plant  **☐** Central Office(Group of Farms/Plantations)  **☐** Central Office (Group of Points of Origin) **☐** Processing Unit **☐** Trader with storage  **☐** Dependent Collecting point  **☐** Point of origin | | |
| ISCC Registration Number | Only for recertifications | | | |
| I have read and understand the document F-2129 ISCC Audit Requirements (<https://www.qmscert.com/wordpress/wp-content/uploads/library/official-docs/product/F-2129-1-ISCC-AUDIT-INFORMATION.pdf>) | **☐** yes  **☐** no | | | |
| Recertification | **☐** yes  **☐** no | | | |
| Year of initial ISCC certification | Only for recertifications | | | |
| Total annual turnover of the operational unit to be certified in euro (robust and up-to-date evidence must be available to the auditor for the confirmation) |  | | | € |
| Total annual turnover in mt (FGP’S, Collecting Points, Farms/Plantations, Points of Origin, Warehouses, Logistic Centers) |  | | | |
| Is the operational unit using relevant service providers or sub-contractors? | **☐** yes  **☐** no | | | |
| Name(s) of relevant service providers/ sub-contractors |  | | | |
| What GHG option(s) are used for the outgoing sustainable material? (ISCC PLUS: Only applicable if the voluntary add-on "GHG Emissions" is applied) | **☐** Total default value  **☐** Disaggregated default value  **☐** Actual GHG value  **☐** NUTS2 value or "NUTS2-equivalent" value | | | |
| Sustainable input material(s) (For ISCC EU: according to the ISCC list of materials) |  | | | |
| Sustainable output material(s) (For ISCC EU: according to the ISCC list of materials) |  | | | |
| Are waste or residues or waste or residue-based products handled, or processed, or sold and claimed under ISCC? | **☐** yes  **☐** no | | | |
| Are internal (on-site) or external (different address) storage facilities ( e.g. warehouses, tank terminals, etc.) used to store sustainable material? | **☐** yes: internal storage facilities  **☐** yes: external storage facilities  **☐** no storage facilities | | | |
| If external storage facilities are used, please indicate if they are covered by individual certification.\* (A list of all external storage facilities including address data (and certificate number if individually certified) must be provided to ISCC.) | **☐** All external storage facilities are certified  **☐** One or more storage facilities are not certified | | | |
| If external storage facilities are used, please indicate the numbers of storage facilities. |  | | | |
| Are other sustainability certification system(s) with comparable scopes used? For ISCC EU in particular those systems which are recognised under RED are relevant. For ISCC PLUS in addition traceability databases for biobas/ biomethane trading (e.g. Vertogas (NL), Green Gas (UK)), for wood-based feedstocks (e.g. PEFC, FSC) and other voluntary schemes for circular and/ or bio-based industrial applications like e.g. RSPO are relevant. | **☐** yes  **☐** no | | | |
| If other sustainability certification systems are used, specify which other systems are used |  | | | |
| Chain of Custody option applied | **☐** Mass balance  **☐** Physical segregation | | | |
| Collecting Point, Central Office (Group certification of Points of Origin) and Dependent Collecting Point (audited on sample basis) | | | | |
| From what category of Point of Origin are waste and residues collected? | **☐** Companies/businesses (e.g. restaurants, industrial operations)  **☐** Private households  **☐** Public containers  **☐** Public/communal collection sites  **☐** Landfill operations | | | |
| If waste and residues are collected from companies or businesses, please specify the type of operation (e.g. restaurant, animal rendering plant, waste management company, etc.) |  | | | |
| Is the collecting point registered and supervised by a system operated by a governmental authority, which is recognised by ISCC as equivalent to ensure compliance with the ISCC waste and residue requirements? | **☐** yes  **☐** no | | | |
| If the collecting point is registered and supervised by a governmental system that is recognized by ISCC, state the name of the system |  | | | |
| If the collecting point is registered and supervised by a governmental system that is recognized by ISCC, please provide specific information how the right for third parties to access the points of origin is granted (e.g. as part of a contractual agreement with the certified collecting point) |  | | | |
| Indicate the total number of points of origin that have signed the ISCC self-declaration during the 12-month period prior to the certification audit. |  | | | |
| Indicate the total number of ISCC points of origin that are generating more than 10 metric tons of waste/residues per month and have signed the ISCC self-declaration during the 12-month period prior to the certification audit (relevant for sample audits). |  | | | |
| Are dependent collecting points used to collect sustainable material? (A list of all dependent collecting points including address data must be provided to ISCC.) | **☐** yes  **☐** no | | | |
| Indicate the total number of dependent collecting points used. (A list of all dependent collecting points including address data must be provided to ISCC.) |  | | | |
| Processing Units | | | | |
| Specify the Type of Processing Unit | **☐** Biogas Plant  **☐** Biomethane Plant  **☐** Pulp Mill  **☐** Biodiesel Plant  **☐** Refinery  **☐** Ethanol Plant  **☐** Sugar Mill  **☐** HVO Plant  **☐** Treatment Plant (waste/residues)  **☐** Crushing Plant  **☐** Co-Processing  **☐** Methanol Plant  **☐** Oil Mill  **☐** Melting Plant  **☐** Pyrolysis Plant  **☐** Other - Please specify: | | | |
| Indicate the production capacity per year for all main products (sustainable and non-sustainable). Please indicate the production capacity for liquid and solid products in metric tons per year and for gaseous products in m3 per year. |  | | | |
| Is the Processing Unit the producer of the final biofuel (i.e. no further processing of the biofuel)? | **☐** yes  **☐** no | | | |
| ISCC EU: If final biofuel is produced at the processing unit, did the processing unit start physical production of biofuels or bioliquids after 5 October2015 (i.e. new installation)?  (According to the RED a processing unit shall be considered to be in operation if the physical production of bioliquids or biofuels has taken place (first date of production of bioliquids or biofuels) | **☐** yes  **☐** no  Please state the date of the initial operation of the processing unit: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_(dd/mm/yyyy) | | | |
| What type of GHG information is received for the incoming sustainable material (multiple choice possible)?  ISCC PLUS: Only applicable if add-on „GHG Emissions“ is applied | **☐** Total default value  **☐** Disaggregated default value  **☐** Actual GHG value | | | |
| Are methane capture devices in place (e.g. in case of palm oil mills)? | **☐** yes  **☐** no | | | |
| Is the processing of biogenic and fossil input materials carried out simultaneously (co-processing)? | **☐** yes  **☐** no | | | |
| In case of co-processing: Indicate the type of co-processing facility (e.g. FCC unit or Hydrotreater) |  | | | |
| In case of co-processing: Indicate the type of fossil input material(s) |  | | | |
| In case of co-processing: Indicate the type of sustainable bio-based input material(s) |  | | | |
| In case of co-processing: Specify the method to determine the bio-yield. | **☐** Energetic determination  **☐** Determination through efficiency/losses of a process  **☐** 12C / 14C analyses | | | |
| For ISCC PLUS, in case of co-processing: Specify the mass balancing approach to determine the sustainable share | **☐** Mass determination  **☐** Energetic determination  **☐** Trace-the-Atom  **☐** 12C / 14C analyses | | | |
| Type of attribution of sustainable bio-output | **☐** Equal to all products  **☐** To one specific product: | | | |
| For ISCC PLUS: Options for Attribution (respective outputs shall be listed): | **☐** Attribution to one specific output  **☐** Attribution to several outputs | | | |
| First Gathering Point and Central Office (Group certification of Farms/Plantations) | | | | |
| Indicate the total number of farms/plantations (including smallholders) that have signed the ISCC self-declaration during the 12-month period prior to the date of the certification audit (ISCC compliant). (A list of all farms/plantations including address data and, if possible, geo coordinates must be provided to ISCC.) |  | | | |
| Specify the type of ISCC compliant agricultural producer(s) supplying sustainable biomass. | **☐** Smallholders  **☐** Individual Farms  **☐** Plantations | | | |
| Indicate the total number of ISCC compliant smallholders. |  | | | |
| Indicate the total number of ISCC compliant individual farms. |  | | | |
| Indicate the total number of ISCC compliant plantations. |  | | | |
| Are the supplying farms/plantations covered by European Cross Compliance? | **☐** yes  **☐** no | | | |
| Specify the total agricultural area of all ISCC compliant smallholders. | **☐** 1-500ha  **☐** 500-5.000ha  **☐** 5.000-20.000ha  **☐**>20.000 | | | |
| Specify the total agricultural area of all ISCC compliant individual farms. | **☐** 1-500ha  **☐** 500-5.000ha  **☐** 5.000-20.000ha  **☐**>20.000ha | | | |
| Specify the total agricultural area of all ISCC compliant plantations. | **☐** 1-500ha  **☐** 500-5.000ha  **☐** 5.000-20.000ha  **☐**>20.000ha | | | |
| Trader, Trader with storage, Logistic Center, Warehouse and Storage facilities (audited on sample basis) | | | | |
| Information on material claimed as sustainable under ISCC received (i.e. bought by paper traders) during the previous certification period: |  | | | |
| Materials received as sustainable (incoming) | | |  | |
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|  | | | | |
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| Materials declared as sustainable (outgoing) | | |  | |
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|  | | | | |
|  | | | | |
| Is gaseous biomass (e.g. biogas or biomethane) handled, stored or sold as sustainable under the ISCC certificate? | **☐** yes  **☐** no | | | |
| Please indicate the type(s) of sustainable materials traded (only applicable for materials traded on a "paper basis"). | **☐** Raw material  **☐** Intermediate products  **☐** Final products | | | |

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| --- | --- | --- |
| Point of Origin Requirements | | |
| Category of Point of Origin | **☐** Company or Business (e.g. restaurants, industrial operations)  **☐** Private Households  **☐** Public Containers  **☐** Public or Communal Collection Sites  **☐** Landfill Operations | |
| If the Point of Origin is a company or business, please specify the type of operation (e.g. restaurant, animal rendering plant, oil refinery, etc.) |  | |
| What type of waste or residue is produced by the point of origin? (Verify how the material is declared on delivery documents or waste transfer notes and if this is plausible). |  | |
| What GHG option is used for the outgoing sustainable material?  (multiple choice possible)  (Waste and residues generally have zero GHG emissions per ton at the point of origin where the waste or residue is collected from) | **☐** Total default value  **☐** Disaggregated default value  **☐** Actual GHG value | |
| Information on outgoing materials claimed as sustainable under ISCC during previous certification period: |  | |
| List of materials | |  |

1. [↑](#footnote-ref-1)